Clear Form Print Form

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Overnight Mail:
30 Dan Road
Canton, MA 02021-2809

Automatic Investment Plan Form

This option allows you to automatically invest a minimum of $100 per Portfolio/Fund from your bank account into your Principal Funds account. **Please allow 15 business days for the AIP to be established.** If you do not select an investment frequency, Principal Funds will establish a monthly investment. If you do not select a day, we will process your investment on the 15th day of each investment period. You will not receive a confirmation of AIP transactions if you maintain an AIP. You will receive a quarterly statement and your bank statement will show your automatic deduction.

- Use this form to make changes to your **EXISTING** Principal Funds account.
- For a new account, please contact your Investment Representative or call Principal Funds for an *Account Application* and prospectus.

1. Account Information

Owner's First Name, Middle Initial, Last Name

Social Security Number | Date of Birth (MM/DD/YYYY)

Street Address (no P.O. Box addresses) | City | State | Zip

Daytime Phone Number | Evening Phone Number

E-mail Address

2. Automatic Investment Instructions

☐ Add ☐ Delete ☐ Change

Account Number	Portfolio/Fund Number	Start Date (MM/DD/YYYY)	Frequency (M)onthly, (Q)uarterly (S)emiannually, (A)nnually	Dollar Amount
				$
				$
				$
				$
				$
				$

Note: If your chosen date falls on a weekend or holiday, your investment will run on the next business day. If the next business day is in the following month, then your investment will run on the preceding business day.

3. Banking Information

If you are adding or changing current bank information and you DO NOT provide a preprinted voided check, savings deposit slip, or proof of account from your banking institution, a **Medallion Signature Guarantee** is required below. By calling Principal Funds, you may request electronic funds transfers, such as ACH or Bank Wire, to purchase and/or redeem funds electronically. ACH purchases/redemptions are transferred on the second business day following the request **free of charge.** Bank Wire purchases/redemptions are transferred the following business day, if requested before the close of the New York Stock Exchange. **Principal Funds charges a $10 fee each time funds are sent by Bank Wire. Your bank may also charge a fee. Please allow 15 business days for your bank account information to be established and validated.**

☐ **Checking Account** (please tape a preprinted check)

☐ **Savings Account** (please tape a preprinted deposit slip or proof of account from your banking institution)

Name of Bank | ABA Routing Number | Bank Account Number

Please tape a preprinted, unsigned,
voided check or savings deposit slip here.
DO NOT SUBMIT A NON-PREPRINTED CHECK.
Preprinted checks must include a name and address.
(PLEASE DO NOT STAPLE CHECK TO APPLICATION)

If the Principal Funds account(s) and bank account include at least one common owner, then only the owner(s) of the Principal Funds account(s) need(s) to sign. However, if there is not a common owner listed in the registration of the Principal Funds account and the bank account, we require all Principal account and bank account owners to provide their signatures along with a Medallion Signature Guarantee granting permission for you to access the account for either deposits to or withdrawals from the account.

I am the owner/co-owner of the bank account listed above and/or the Principal account owner. I give permission to Principal Funds to access my account in order to make deposits to or take withdrawals from it on behalf of the registered account owner(s). I understand transactions to/from my account will continue until I notify Principal Funds, in writing, that my account may no longer be accessed.

Medallion Signature Guarantee:

Bank Account Owner's First Name, Middle Initial, Last Name

Bank Account Owner's Signature

Bank Account Owner's First Name, Middle Initial, Last Name

Bank Account Owner's Signature

4. Signatures

To act on this request form, all account owner(s) must sign below.

Account Owner (Print or Type) | Signature | Date (MM/DD/YYYY)

Account Owner (Print or Type) | Signature | Date (MM/DD/YYYY)