



P.O. Box 8024
Boston, MA 02266-8024
800-222-5852

Overnight Mail:
30 Dan Road
Canton, MA 02021-2809

Legal Name and/or Address Change

- Use this form to notify Principal Funds of a legal name change and/or a change of mailing address.
- If you wish to re-register an individual account to a joint account or add a joint owner to an account, please complete the *Registration Change* form.
- If your existing account has the Check Writing Privilege, and you are requesting a legal name and/or address change, please be sure that all outstanding checks are paid before submitting this form and **check the box below**. Principal Funds is unable to honor checks using your former signature.
- If you wish to add the Check Writing Privilege to your existing account, please complete the Check Writing Privileges For Money Market Fund form.
- DO NOT use this form to request a Social Security or Taxpayer Identification Number change.
- For a new account, please contact your Investment Representative or call us at 800-222-5852 for an *Account Application* and prospectus.

| 1. Current Account Information | |
|---|-----------------------|
| Account Number | Portfolio/Fund Number |
| Owner's Former Name (First, Middle Initial, Last) | |
| Former Legal Street Address (no P.O. Box Address), City, State, Zip | |
| Mailing Address (if different from above), City, State, Zip | |

| 2. New Account Information | |
|---|------------------------|
| Owner's New Name (First, Middle Initial, Last) | |
| New Legal Street Address (no P.O. Box Address), City, State, Zip | |
| Mailing Address (if different from above), City, State, Zip | |
| Daytime Phone Number | Evening Phone Number |
| <input type="checkbox"/> U.S. Citizen <input type="checkbox"/> Resident Alien | Country of Citizenship |
| Reason for Change <input type="checkbox"/> Marriage <input type="checkbox"/> Divorce <input type="checkbox"/> Other: _____ | |
| <input type="checkbox"/> I have the Check Writing Privilege on my account. Please send me new checks with the new name and/or address as indicated above. | |

| 3. Certification and Signatures | |
|---|---|
| Please sign your former and new name. (Note: Your signatures must be Medallion Signature Guaranteed.) | |
| I hereby certify that _____ Print former name | was changed to _____ Print new name |
| and is one and the same person. | |
| Owner's Former Signature | Medallion Signature Guarantee: <div style="border: 1px solid black; height: 100px; width: 100%;"></div> |
| Owner's New Signature | |
| <small>The signatures must be guaranteed by a commercial bank, trust company, credit union, savings and loan, national securities exchange member, or brokerage firm that participates in a Medallion program recognized by the Securities Transfer Association. A signature guaranteed by a notary public or savings bank is not acceptable.</small> | |